

5th Annual Government Enforcement Institute
September 20-21, 2018 • The Westin Dallas Downtown • Dallas, TX

Thursday Morning, Sep. 20, 2018

Presiding Officer:

Steve Korotash, Partner, Morgan, Lewis & Bockius LLP - Dallas, TX

7:30 am	<p>Registration Opens</p> <p>Includes continental breakfast.</p> <p>Thank You to Our Sponsor Jackson Walker LLP</p>
8:20 am	<p>Welcoming Remarks</p>
8:30 am 0.50 hr	<p>Opening Keynote Presentation</p> <p>Stephanie Avakian, Co-Director, Division of Enforcement, U.S. Securities and Exchange Commission - Washington, DC</p>
9:00 am 1.00 hr	<p>In-House Perspective: Changes in the Enforcement Space</p> <p>The panel explores the changes detected in the new administration's enforcement and regulatory priorities, and discuss the implications that any shifts in emphasis may have for corporations and executives.</p> <p>Moderator: Kit Addleman, Partner, Haynes and Boone, LLP - Dallas, TX</p> <p>Panelists: Audrey T. Andrews, Senior Vice President and General Counsel, Tenet Healthcare Corporation - Dallas, TX Leonard C. Sherer, Vice President, Associate General Counsel - Employment & Compliance, Sabre Corporation - Southlake, TX Richard C. Vint, Assistant General Counsel, Corporate and Compliance, Exxon Mobil Corporation - Irving, TX</p>
10:00 am	<p>Break</p>

10:15 am
1.00 hr
0.50 hr ethics

Effective Management of Internal Investigations

Hear practical considerations for scoping, conducting, and managing internal investigations. The discussion focuses on working with Audit Committees and collaborating with external counsel when responding to government inquiries, potential whistleblowers, and other complaints, with particular emphasis on achieving cost effectiveness and ethical considerations.

Moderator:

Patrick K. Craine, Deputy General Counsel, Chief Risk and Compliance Officer, Chesapeake Energy Corporation - Oklahoma City, OK

Panelists:

Brent Benoit, Vice President, Chief Compliance Officer, National Oilwell Varco - Houston, TX

Michael Jackman, Director, Corporate Inquiries & Hotline / Compliance Counsel, Flowserve Corporation - Irving, TX

Clay B. Scheitzach, DriveTime - Tempe, AZ

11:15 am
1.00 hr

Financial Fraud Enforcement Trends: A Conversation with the SEC and DOJ

An update on the SEC's and DOJ's recent enforcement efforts involving financial fraud investigations and related litigation.

Moderator:

Jason S. Flemmons, Senior Managing Director, Ankura Consulting Group - Washington, DC

Panelists:

Brian K. Kidd, Assistant Chief, Securities and Financial Fraud Unit, U.S. Department of Justice - Washington, DC

Benjamin D. Singer, Partner, O'Melveny & Myers LLP - Washington, DC

Eric R. Werner, Associate Regional Director, U.S. Securities and Exchange Commission - Fort Worth, TX

Thursday Afternoon, Sep. 20, 2018

Presiding Officer:

Steve Korotash, Partner, Morgan, Lewis & Bockius LLP - Dallas, TX

12:15 pm

Luncheon with Remembrance and Tribute to Scott W. Friestad

Please join us as we remember the life, career and accomplishments of Scott Friestad, a long-serving associate director of the SEC's enforcement division. Scott's memory provides a shining example of all that's best in public service. He was a member of the Institute's planning committee and a frequent panelist at our conference.

Thank You to Our Lunch Sponsor

Ernst & Young, LLP

Commentator:

Russell G. (Russ) Ryan, King & Spalding - Washington, DC

<p>1:00 pm 1.00 hr 0.75 hr ethics</p>	<p>International Enforcement and Investigations</p> <p>Review the latest international developments concerning various governments' enforcement efforts and hear updates on key substantive issues such as cross-border discovery and privilege issues. In addition, the panel offers insights regarding GDPR considerations.</p> <p>Moderator: Charles E. Duross, Partner, Morrison & Foerster LLP - Washington, DC</p> <p>Panelists: Casey Kaplan, Assistant General Counsel - Litigation and Investigations, NIKE, Inc. - Beaverton, OR Jason Rose, Enforcement Attorney, U.S. Securities and Exchange Commission - Fort Worth, TX Kelly Thorman, Associate General Counsel & Director of Global Compliance, Parker Drilling - Houston, TX</p>
<p>2:00 pm 1.00 hr 0.25 hr ethics</p>	<p>Whistleblower Developments and Current Best Practices</p> <p>An exploration of the myriad challenges posed for companies by whistleblowers, as well as viable strategies for successfully negotiating the difficult obstacles presented.</p> <p>Moderator: Kevin Edmundson, Partner, Brophy Edmundson Shelton & Weiss PLLC - Austin, TX</p> <p>Panelists: Ann Marie Arcadi, Arcadi Jackson, LLP - Dallas, TX Sean X. McKessy, Partner, Phillips & Cohen LLP - Washington, DC Jordan A. Thomas, Partner, Labaton Sucharow LLP - New York, NY</p>
<p>3:00 pm</p>	<p>Break</p>
<p>3:15 pm 1.00 hr</p>	<p>Coping with Data Management: Tips for In-House Counsel</p> <p>Penny wise but pound foolish? Learn how to avoid common pitfalls with e-discovery and data management during internal investigations and litigation. Hear discussion on topics relating to data retention, outsourcing, collaboration, technology assisted review, and cost management.</p> <p>Moderator: Todd C. Ranta, Partner, PwC - Dallas, TX</p> <p>Panelists: Kevin M. Clark, Litigation Support Manager, Thompson & Knight LLP - Dallas, TX Jeaneen M. Kappell, Associate, Morgan, Lewis & Bockius LLP - Dallas, TX Richard T. Robinson, eDiscovery and Information Manager, JCPenney - Plano, TX Eric J. Schwarz, Principal eDiscovery Services, Ernst & Young LLP - Dallas, TX</p>
<p>4:15 pm 1.00 hr</p>	<p>Continuity of Operations Following Disasters: Confronting Legal Challenges in Energy, Law Enforcement, Environment, Commerce and Beyond</p> <p>Moderator: Jim Letten, Partner, Butler Snow LLP - New Orleans, LA</p> <p>Panelists: Nadira Clarke, Partner, Katten Muchin Rosenman LLP - Washington, DC David Gerger, Gerger Khalil & Hennessy LLP - Houston, TX</p>
<p>5:15 pm</p>	<p>Adjourn to Reception</p> <p>Join us for drinks and hors d'oeuvres with program faculty and attendees.</p> <p>Thank You to Our Sponsor Butler Snow LLP</p>

Friday Morning, Sep. 21, 2018

Presiding Officer:

Barrett R. Howell, Partner, Katten Muchin Rosenman LLP - Dallas, TX

8:00 am	<p>Conference Room Opens</p> <p>Includes continental breakfast.</p> <p>Thank You to Our Sponsor Baker Botts L.L.P.</p>
9:00 am 0.50 hr	<p>Meet the New U.S. Attorney</p> <p>A conversation with the United States Attorney for the Northern District of Texas, sworn in on November 17, 2017, on current priorities and processes.</p> <p>Erin Nealy Cox, U.S. Attorney, Northern District of Texas, U.S. Department of Justice - Dallas, TX Commentator: Paul E. Coggins, Partner, Locke Lord LLP - Dallas, TX</p>
9:30 am 1.00 hr ethics	<p>Ethical Considerations in Government Investigations</p> <p>More often than not, white collar practitioners find themselves in gray areas implicating ethics obligations without a road map or precedential case law. Explore some of these difficult situations.</p> <p>Moderator: Jay Dewald, Partner, Jackson Walker LLP - Dallas, TX Panelists: David Peavler, Vice President and General Counsel, HD Vest Financial Services® - Irving, TX Gil M. Soffer, Managing Partner, Katten Muchin Rosenman LLP - Chicago, IL</p>
10:30 am	<p>Break</p>
10:45 am 1.00 hr 0.50 hr ethics	<p>False Claims Act Developments</p> <p>A look at the implications of newly-issued Justice Department memoranda—the Granston and Brand Memos—as well as updates in the law and the government’s enforcement efforts in connection with the False Claims Act.</p> <p>Moderator: Danny S. Ashby, Partner, Morgan, Lewis & Bockius LLP - Dallas, TX Panelists: Christopher Babbitt, Partner, WilmerHale - Washington, DC Scott Hogan, Civil Chief, United States Attorney's Office, Northern District of Texas - Dallas, TX Susan Strawn, Assistant US Attorney, United States Attorney's Office, Western District of Texas - San Antonio, TX</p>

11:45 am
1.00 hr
0.25 hr ethics

Cybersecurity: The Latest Strategies for Responding to Ransomware and Other Cybersecurity Incidents

An examination of ransomware, business email compromises, insider threats, and other prominent cybersecurity threat vectors and vulnerabilities, as well as best practices and recommendations from a government enforcement perspective for addressing threats and minimizing liability.

Moderator:

Richard J. Johnson, Partner, Jones Day - Dallas, TX

Panelists:

Wendy Elsa Bozzolasco, Deputy Chief Ethics and Compliance Officer, Director Global Litigation, SunPower - Austin, TX

Richard Murray, Supervisory Special Agent, Federal Bureau of Investigation - Dallas, TX

Chad M. Pinson, Executive Vice President, Stroz Friedberg - Dallas, TX

Shamoil T. Shipchandler, Director, Fort Worth Regional Office, U.S. Securities and Exchange Commission - Fort Worth, TX

12:45 pm

Adjourn