

6TH ANNUAL
**GOVERNMENT ENFORCEMENT
INSTITUTE**

The Premier In-House Counsel Forum for
Protecting Companies and Executives in Government Investigations



September 12–13, 2019
The Westin Galleria ■ Houston, Texas

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THURSDAY MORNING, SEP. 12, 2019

Presiding Officer:

Steve Korotash, Partner, Morgan, Lewis & Bockius LLP, Dallas, TX

7:30 a.m. Registration Opens

Includes continental breakfast.

**THANK YOU TO OUR
BREAKFAST SPONSOR**



8:20 a.m. Welcoming Remarks

8:30 a.m. .50 hr

Opening Keynote Presentation

Matt Miner, Deputy Assistant Attorney General,
Criminal Division, U.S. Department of Justice,
Washington, DC

9:00 a.m. 1.00 hr | .25 hr ethics

**Tailoring Internal Investigations to be More
Efficient and Cost Effective**

Discuss the latest technology and techniques that are being employed for conducting efficient and effective internal investigations.

Moderator:

Todd C. Ranta, Partner, PwC, Dallas, TX

Panelists:

Fredric (Rick) Firestone, Partner, McDermott Will & Emery, Washington, DC

Brent McDaniel, Managing Director, KPMG LLP, Dallas, TX

Brad Mroski, Managing Director, AlixPartners, Dallas, TX

Leonard C. Sherer, Vice President - Law, Labor and Employment, Union Pacific, Omaha, NE

10:00 a.m. Break

10:15 a.m. 1.00 hr ethics

Representation of Multiple Parties: Ethical and Practical Considerations

Examine the advantages and disadvantages of using pool counsel in internal investigations, including the impact of joint representation, whether the various clients' interests are sufficiently aligned, the types of agreements entered with the company, the logistics of the engagement, consequences of one client being charged or cooperating, and managing client information to avoid potential cross-contamination.

Moderator:

Amy Jeffress, Partner, Arnold & Porter LLP, Washington, DC

Panelists:

Jennifer Z. Belveal, Partner, Foley & Lardner LLP, Detroit, MI

Patrick K. Craine, General Counsel and Corporate Secretary, Gulfport Energy Corporation, Oklahoma City, OK

Matthew G. Nielsen, Partner, Bracewell LLP, Dallas, TX

11:15 a.m. 1.00 hr | .25 hr ethics

Government Investigations and the External Auditor

Hear tips for legal departments in interacting with external auditors during an investigation.

Moderator:

Timothy R. McCormick, Partner, Thompson & Knight LLP, Dallas, TX

Panelists:

Jeff Ferguson, Partner, Ernst & Young LLP, Dallas, TX

Holly Tucker, Partner, Deloitte, Dallas, TX

THURSDAY AFTERNOON

Presiding Officer:

Steve Korotash, Partner, Morgan, Lewis & Bockius LLP, Dallas, TX

NETWORKING LUNCHEON

12:15 p.m.

Interact and network with faculty and fellow colleagues. Buffet lunch included in registration.

**THANK YOU TO OUR
NETWORKING LUNCHEON SPONSOR**



1:00 p.m. 1.00 hr | .25 hr ethics

Whistleblower Issues and Best Practices

An exploration of the myriad challenges posed for companies by whistleblowers, as well as viable strategies for successfully negotiating the difficult obstacles presented.

Moderator:

Kevin Edmundson, Partner, Brophy Edmundson Shelton & Weiss PLLC, Austin, TX

Panelists:

Michael Jackman, Director, Corporate Inquiries & Hotline / Compliance Counsel, Flowserve Corporation, Irving, TX

Jane Norberg, Chief of the Whistleblower Office, U.S. Securities and Exchange Commission, Washington, DC

Jordan A. Thomas, Partner, Labaton Sucharow LLP, New York, NY

**M
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This course has been approved for Minimum Continuing Legal Education credit by the State Bar of Texas Committee on MCLE in the amount of 11.25, of which 3.00 credit hours will apply to the legal ethics/professional responsibility credit. The University of Texas School of Law is a State Bar of California approved MCLE provider (#1944), and Oklahoma Bar Association MCLE presumptively approved provider (#179).

2:00 p.m. 1.00 hr

Financial Fraud Enforcement: A Look Around the Corner

An update on the SEC's and DOJ's recent enforcement efforts involving financial fraud investigations and related litigation.

Moderator:

Jason S. Flemmons, Senior Managing Director, Ankura Consulting Group, Washington, DC

Panelists:

Brian K. Kidd, Chief, Securities and Financial Fraud Unit, U.S. Department of Justice, Washington, DC
Benjamin D. Singer, Partner, O'Melveny & Myers LLP, Washington, DC
Eric R. Werner, Associate Regional Director, U.S. Securities and Exchange Commission, Fort Worth, TX

3:00 p.m. Break

3:15 p.m. 1.00 hr | .50 hr ethics

FCPA Issues and Developments

The latest in FCPA enforcement trends and policy developments, compliance best practices, and international updates.

Moderator:

Charles E. Duroso, Partner, Morrison & Foerster LLP, Washington, DC

Panelist:

Jason Rose, Enforcement Attorney, U.S. Securities and Exchange Commission, Fort Worth, TX
Ephraim "Fry" Wernick, Partner, Vinson & Elkins LLP, Washington, DC

4:15 p.m. 1.00 hr | .25 hr ethics

Cybersecurity: Between the SEC and DOJ, What's a Company to Do?

The government takes an active role in enforcement when it comes to cybersecurity issues. Discuss the who, what, when, where, and why of government enforcement and how in-house counsel navigates this challenging area.

Moderator:

Shamoi T. Shipchandler, Partner, Jones Day, Dallas, TX

Panelists:

Michael Chu, Assistant United States Attorney, U.S. Attorney's Office, Southern District of Texas, Houston, TX
Adam R. Law, Senior Vice President, General Counsel & Corporate Secretary, Select Energy Services, Houston, TX
John Park Pearson, U.S. Department of Justice, Houston, TX

5:15 p.m. Adjoin to Reception

Join us for drinks and hors d'oeuvres with program faculty and attendees.

NETWORKING RECEPTION

5:15 – 6:15 p.m.

THANK YOU TO OUR SPONSOR



FRIDAY MORNING, SEP. 13, 2019

Presiding Officer:

Barrett R. Howell, Partner, Katten Muchin Rosenman LLP, Dallas, TX

8:00 a.m. Conference Room Opens

Includes continental breakfast.

THANK YOU TO OUR BREAKFAST SPONSOR



8:30 a.m. 1.00 hr | .50 hr ethics

The Company in Crisis: Talking to Investors, Regulators and the Media

Best practices for crisis preparation, planning, and how to disclose and communicate after a crisis event. Address strategies for dealing with the media, investors, and the public then discuss the legal requirements and the strategic considerations in making disclosures in company filings.

Moderator:

Kit Addleman, Partner, Haynes and Boone, LLP, Dallas, TX

Panelists:

Erik Hotmire, Former Senior Advisor to the Chairman, U.S. Securities and Exchange Commission, Washington, DC
Ira H. Raphaelson, Senior Counsel, White & Case LLP, Washington, DC
Sarah R. Teachout, Sr. Vice President and Chief Legal Officer, Trinity Industries, Dallas, TX

9:30 a.m. 1.00 hr

Antitrust Enforcement

Hear about hot topics in federal and state antitrust enforcement, practical takeaways for discussions with the DOJ, FTC, or state attorneys general, and best practices for corporate compliance.

Moderator:

Jennifer K. Schwab, Partner, Honigman LLP, Chicago, IL

Panelists:

J. Clayton Everett Jr., Partner, Morgan, Lewis & Bockius LLP, Washington, DC
Robert E. Friedman, Senior Counsel, Compliance, Exxon Mobil Corporation, Spring, TX
Peter Huston, Partner, Baker Botts L.L.P., San Francisco, CA
Kim Van Winkle, Deputy Chief, Antitrust Division, Texas Attorney General's Office, Austin, TX

10:30 a.m. Break

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10:45 a.m. .75 hr

False Claims Act Developments

Review recent case law, legislative and policy developments, and the government's current enforcement priorities under the federal law that continue to be the government's main tool for combating government program fraud.

Moderator:

Danny S. Ashby, Partner, Morgan, Lewis & Bockius LLP, Dallas, TX

Panelists:

Christopher Babbitt, Partner, WilmerHale, Washington, DC
Scott A. Resnik, Partner, Katten Muchin Rosenman LLP, New York, NY

11:30 a.m. 1.00 hr

Criminal Developments

Government attorneys and private practitioners discuss recent legal and policy developments in the field of criminal justice, specifically relating to corporate and other white-collar crimes.

Moderator:

Alan M. Buie, United States Attorney's Office, Western District of Texas, Austin Division

Panelists:

Jeffrey J. Ansley, Partner, Bell Nunnally & Martin LLP, Dallas, TX
Eric J.R. Nichols, Butler Snow LLP, Austin, TX
Gil M. Soffer, Managing Partner, Katten Muchin Rosenman LLP, Chicago, IL
Jay Dewald, Partner, Jackson Walker LLP, Dallas, TX

12:30 p.m. Adjoin

ETHICS HOURS

UT Law CLE knows how important your ethics hours are, and it knows how challenging it can be to find interesting, engaging programming. This year's institute includes 3 hours of ethics so you can easily meet your Texas MCLE ethics requirement at one of the premier programs in the country. Register today!

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Associate Regional Director
U.S. Securities and Exchange
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EPHRAIM "FRY" WERNICK
Partner
Vinson & Elkins LLP
Washington, DC

*Planning Committee member

HOW TO REGISTER

Online:

www.utcle.org/conferences/GE19

Mail:

The University of Texas
School of Law
Attn. CLE
PO Box 7759
Austin, TX 78713-7759

Fax:

512.475.6876

Questions? 512.475.6700

If you have dietary requirements or would like to request accommodations under the ADA, please contact Customer Service at 512.475.6700 or service@utcle.org at least 10 days prior to the conference.

REGISTRATION BENEFITS

Full Service Experience: Registration for the live event includes continental breakfasts, a Thursday luncheon presentation, and a Thursday evening reception, along with countless networking opportunities throughout the event.

Comprehensive Course Materials: Access course materials in "Your Briefcase" approximately 48 hours before the live event, and enjoy unlimited access to the final, complete course materials – downloadable PDFs of papers and slides.

Accredited eConference: Complimentary access to the eConference – with papers, slides, and video – accredited for one year in both TX and CA are available in "Your Briefcase" 4–6 weeks after the live event.

TX MCLE Credit Reporting: UT Law CLE can report credit on your behalf directly to the State Bar of Texas. Track the Texas MCLE credit reported on your behalf at "Your Account" after you've logged in at www.utcle.org.

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Invoices, confirmations, and receipts are emailed to these addresses.

LIVE EVENT REGISTRATION

Special group registration rates available. Call 512.475.6700.

Individual Registration

- Individual Registration by September 4 \$625
- Individual Registration after September 4 \$675
- In-House Counsel Registration by September 4 \$425
- In-House Counsel Registration after September 4 \$475
- Government Attorney Registration by September 4 \$425
- Government Attorney Registration after September 4 \$475

Printed Course Binder – Available Through September 4

Note: Conference registration includes Electronic Course Binder Download (PDF) in "Your Briefcase".

- Printed Course Binder \$37.89 (\$35*)

* Tax-exempt rate for, e.g., government employees and nonprofits. Include a Texas Tax-Exempt Certificate with order.

TOTAL EVENT REGISTRATION \$ _____

FIRST AND SECOND YEAR ATTORNEYS MAY ATTEND FOR JUST \$150! CALL 512.475.6700 TO REGISTER.

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eConference – For Texas and California MCLE Credit

Includes Electronic Course Binder Download (PDF) and program video/audio. Available 4-6 weeks after live event. Hours may vary depending on actual event runtime.

- Individual eConference \$575

Post-Course Binder – For Research and Self-Study

Comprehensive binder with papers and slides, available for delivery 4–6 weeks after live event.

- Electronic Post-Course Download (PDF) \$243.56 (\$225*)
- Printed Post-Course Binder \$297.69 (\$275*)

* Tax-exempt rate for, e.g., government employees and nonprofits. Include a Texas Tax-Exempt Certificate with order.

* Tax-exempt rate for, e.g., government employees and nonprofits. Include a Texas Tax-Exempt Certificate with order.

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Extensive resources including audio, video, papers, and slides from UT Law CLE programs.

- eLibrary 12 Month Subscription \$319.34 (\$295*)

* Tax-exempt rate for, e.g., government employees and nonprofits. Include a Texas Tax-Exempt Certificate with order.

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- Check (make check payable to The University of Texas at Austin)
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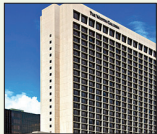
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HOUSTON

September 12–13, 2019

CONFERENCE LOCATION



The Westin Galleria Houston
5060 W. Alabama
Houston, Texas
888.627.8457 (Reservations)

Accommodations: UT Law CLE does not have a group of specially-priced overnight rooms for this conference, although hotel reservations can be made at this property.

Parking:

Complimentary On-Site Self-Parking
(subject to change)

KEY DATES

September 4, 2019

Last day to order a printed binder

September 4, 2019

Last day for early registration
Add \$50 for registrations received after this time

September 6, 2019

Last day for full refund cancellation

September 9, 2019

Last day for partial refund cancellation
\$50 processing fee applied

September 12, 2019 8:20 a.m.

Institute begins

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REASONS TO ATTEND

Don't miss The University of Texas School of Law's **6th Annual Government Enforcement Institute (UTGEI)**—one of the premier enforcement programs in the country. Hear from some of the nation's most prominent authorities on key issues and strategies for protecting companies and executives in government investigations, with:

Opening Keynote Presentation by **Matt Miner**, Deputy Assistant Attorney General of the DOJ's Criminal Division.

In-depth, practical and timely discussions, including:

- Tailoring Internal Investigations to be More Efficient and Cost Effective
- Financial Fraud Enforcement: A Look Around the Corner
- FCPA Issues and Developments
- The Company in Crisis: Talking to Investors, Regulators and the Media
- Criminal Developments
- Whistleblower Issues and Best Practices
- Representation of Multiple Parties: Ethical and Practical Considerations

Plus insight from top-level practitioners, in-house counsel and government officials, and invaluable networking opportunities to interact with speakers and attendees, including the Thursday Luncheon and Evening Reception.

