

10TH ANNUAL
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The Premier Forum for In-House Counsel, Practitioners, and Government Officials in
Protecting Companies and Executives in Government Investigations



September 19–20, 2024
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THURSDAY MORNING, SEP. 19, 2024

Presiding Officer:

Steve Korotash, Dallas, TX

7:50 a.m. Registration Opens

Includes continental breakfast.

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HAYNES BOONE

8:50 a.m. Welcoming Remarks

9:00 a.m. .50 hr

**Opening Keynote: A Conversation with
Lorinda Laryea**

Lorinda Laryea, Principal Deputy Chief,
U.S. Department of Justice, Fraud Section,
Washington, DC

Moderator

Jim Letten, Partner, Butler Snow LLP,
New Orleans, LA

9:30 a.m. 1.00 hr

Corporate Enforcement by the States

Moderator:

Matthew G. Nielsen, Partner, Bracewell LLP,
Dallas, TX

Panelists:

Ronak V. Patel, Former Deputy Securities
Commissioner, Bressler, Amery & Ross, P.C.,
Austin, TX
Texas AG Speaker TBD
Texas State Securities Board Speaker TBD

10:30 a.m. 15-Minute Break

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10:45 a.m. 1.25 hrs | .25 hr ethics

**Recent Federal Criminal Developments:
Evolving DOJ Policies, Health Care and FCPA
Enforcement Trends, and Other Important
Cases**

Examine the DOJ's new policy developments, including SDNY's NPA's for individual cooperation, as well as DOJ's M&A Safe Harbor and Whistleblower protections. Hear discussion on trends in health care fraud and FCPA enforcement, and relevant developments in case law including the New Jersey District Court's rulings on the admissibility of compelled interviews in *U.S. v. Coburn* and the U.S. Supreme Court's consideration of mail and wire fraud in *Koussis v. U.S.*

Moderator:

Robert L. Webster, Attorney and Counselor, Law
Offices of Robert L. Webster, Dallas, TX

Panelists:

Tony Box, Partner, Gray Reed & McGraw LLP,
Dallas, TX
J. Nicholas Bunch, Partner, Haynes Boone, LLP,
Dallas, TX
Tiffany Eggers, Chief, Criminal Division, United
States Attorney's Office, Northern District of Texas,
Fort Worth, TX
Lorinda Laryea, Principal Deputy Chief, U.S.
Department of Justice, Fraud Section,
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Presiding Officer:

Jason S. Flemmons, Senior Managing
Director, Ankura Consulting Group,
Washington, DC

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NETWORKING LUNCHEON

12:00 p.m.

Interact and network with faculty and fellow
colleagues. Buffet lunch included in registration.

LUNCHEON FIRESIDE CHAT

12:30 p.m. .50 hr

Fireside Chat with Eric Werner

Eric Werner, a long-time SEC leader and Director
of the agency's office in Fort Worth, Texas sits down
for a fireside chat with former SEC Enforcement
Associate Director and Holland & Knight LLP
partner Jessica Magee to discuss important cases,
enforcement trends, and a look ahead at what to
expect from the SEC going into Fiscal Year 2025.

Eric R. Werner, Regional Director, U.S. Securities and
Exchange Commission, Fort Worth, TX

Moderator:

Jessica B. Magee, Partner, Holland & Knight,
Dallas, TX

1:00 p.m. 15-Minute Break

1:15 p.m. 1.25 hrs | .25 hr ethics

SEC Panel: Hot Topics and Recent Updates

An update on the SEC's recent enforcement efforts
involving fraud investigations and related litigation.

Moderator:

Jason S. Flemmons, Senior Managing Director,
Ankura Consulting Group, Washington, DC

Panelists:

B. David Fraser, Associate Regional Director—
Enforcement, U.S. Securities and Exchange
Commission, Fort Worth, TX
Sarah S. Mallett, Partner, Kirkland & Ellis LLP, Dallas, TX
David Peavler, Partner, Jones Day, Dallas, TX
Melvin E. Warren, Supervisory Accountant, U.S.
Securities and Exchange Commission, Fort Worth, TX

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MCLE presumptively-approved provider (#169).

2:30 p.m. 1.00 hr

Cybersecurity and Privacy Law Enforcement

Examine government enforcement priorities and challenges in cybersecurity across government agencies, including the DOJ, SEC, and the Cybersecurity & Infrastructure Security Agency.

Moderator:

Elisha Kobre, Partner, Bradley Arant Boult Cummings LLP, Dallas, TX

Panelists:

Christine Edson, Special Agent, Federal Bureau of Investigation, Dallas, TX
Derek Kleinmann, Assistant Regional Director, U.S. Securities and Exchange Commission, Fort Worth, TX
Camelia Lopez, Specialist in National Security and Computer Hacking and Intellectual Property, U.S. Attorney's Office, Eastern District of Texas, Plano, TX
Matt Slowik, Senior Counsel, Cybersecurity and Infrastructure Security Agency (CISA), Denver, CO

3:30 p.m. 15-Minute Break

THANK YOU TO OUR THURSDAY AFTERNOON BREAK SPONSOR



3:45 p.m. 1.00 hr | .50 hr ethics

False Claims Act Developments

The U.S. Department of Justice describes the False Claims Act as one of its most important tools to fight fraud. Yet, no statute is under greater scrutiny by the Courts, Congress, or Counsel. Our panelists discuss the most recent decisions and the practical effects on their practices.

Moderator:

Jay Dewald, Partner, Norton Rose Fulbright, Dallas, TX

Panelists:

Danny S. Ashby, Partner, O'Melveny, Dallas, TX
Holly Clarke, Head of Legal Defense, GKN Aerospace, Westlake, TX
Laura M. Kidd Cordova, Partner, Jackson Walker LLP, Houston, TX
Scott Hogan, First Assistant U.S. Attorney, U.S. Attorney's Office, Northern District of Texas, Dallas, TX

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4:45 p.m. 1.00 hr | .25 hr ethics

Doing Business Abroad: What Companies Should Know to Stay Out of Trouble

The Government has added substantial resources to enable more robust enforcement of sanctions and export controls laws, particularly since the Russian invasion of Ukraine. For companies looking to business internationally, the regulatory environment has never been more complicated. This panel discusses enforcement trends involving multinational companies, government expectations of compliance programs, and how best to navigate the risks associated with international operations.

Moderator:

Ephraim "Fry" Wernick, Partner, Vinson & Elkins LLP, Washington D.C. and Dallas, TX

Panelists:

Vanessa Salinas Beckstrom, Partner, PwC, Dallas, TX
Brent Benoit, Deputy General Counsel and Chief Compliance Officer, NOV, Inc., Houston, TX
Samantha Martin, Assistant Regional Director, U.S. Securities and Exchange Commission, Fort Worth, TX
Michelle Schulz, Managing Partner, Schulz Trade Law PLLC, Dallas, TX

5:45 p.m. Adjourn to Reception

NETWORKING RECEPTION

Join us for drinks and hors d'oeuvres with program faculty and attendees.

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FRIDAY MORNING, SEP. 20, 2024

Presiding Officer:

Kit Addleman, Partner, Haynes Boone, LLP, Dallas, TX

8:00 a.m. Conference Room Opens

Includes continental breakfast.

9:00 a.m. 1.00 hr

Current Compliance Issues and Trends

This panel discusses select current issues impacting compliance programs including recent DOJ and SEC guidance and evolving risks.

Moderator:

D. Dee Raibourn III, Chief Compliance Officer, Avidity Partners, Dallas, TX

Panelists:

Tim S. Braley, Executive Counsel, Global Ethics and Investigations Leader, Baker Hughes Energy and Technology Co., Houston, TX
Patrick K. Craine, Chief Legal and Administrative Officer, Gulfport Energy Corporation, Oklahoma City, OK
John J. Sardar, Global Head of Compliance, Sempra Infrastructure LLC, Houston, TX

10:00 a.m. 15-Minute Break

THANK YOU TO OUR FRIDAY MORNING BREAK SPONSOR



10:15 a.m. 1.00 hr

In-House Counsel View of the Enforcement Landscape

The panel explores current enforcement and regulatory priorities and their implications for corporations and executives.

Moderator:

Kit Addleman, Partner, Haynes Boone, LLP, Dallas, TX

Panelists:

Anna M. Alvarado, Managing Director, Chief Legal Officer & Corporate Secretary, Texas Capital Bank, Dallas, TX
Tabitha Bailey, General Counsel, Blucora/Avantax, Dallas, TX

11:15 a.m. 1.00 hr ethics

Generative AI: Developments and Ethical Implications

Harnessing the power of AI to drive new ways of doing business and increasing growth is something all organizations are trying to do. The use of generative AI creates risks to organizations including violation of privacy, inaccurate or false representations, and unethical practices, to name a few. Implementing the right controls and ethics training alongside generative AI is necessary – so where do you start?

Moderator:

Brooke Hopkins, Managing Director, Alvarez & Marsal, Dallas, TX

Panelists:

Emily R. Apte, Counsel, King & Spalding, Austin, TX
Robert Denby, Partner, Vinson & Elkins LLP, Houston, TX
Daniel Meyers, President, Consulting & Information Governance, TransPerfect Legal Solutions, Dallas, TX
Matt Wernz, Director, Southwest Region Federal Trade Commission, Dallas, TX

12:15 p.m. Adjourn

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KEY DATES

September 4, 2024

Last day for early registration rates

September 13, 2024

Last day for full refund cancellation

September 16, 2024

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\$50 processing fee applied

September 19, 2024 8:50 a.m., CT

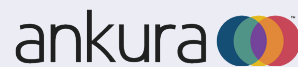
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