

**10<sup>th</sup> Annual Government Enforcement Institute**  
**September 19-20, 2024 • Hotel ZaZa Dallas Uptown • Dallas, TX**

**Thursday Morning, Sep. 19, 2024**

7:50 am	<b>Registration Opens</b>
8:50 am	<b>Welcoming Remarks</b>
9:00 am 0.50 hr	<b>Opening Keynote: A Conversation with Lorinda Laryea</b>
9:30 am 1.00 hr	<b>Corporate Enforcement by the States</b>  Moderator: Matthew G. Nielsen, Partner, Bracewell LLP - Dallas, TX Panelist: Ronak V. Patel, Former Deputy Securities Commissioner, Bressler, Amery & Ross, P.C. - Austin, TX
10:30 am	<b>15-Minute Break</b>
10:45 am 1.25 hrs 0.25 hr ethics	<b>Recent Federal Criminal Developments: Evolving DOJ Policies, Health Care and FCPA Enforcement Trends, and Other Important Cases</b>  Examine the DOJ's new policy developments, including SDNY's NPA's for individual cooperation, as well as DOJ's M&A Safe Harbor and Whistleblower protections. Hear discussion on trends in health care fraud and FCPA enforcement, and relevant developments in case law including the New Jersey District Court's rulings on the admissibility of compelled interviews in <i>U.S. v. Coburn</i> and the U.S. Supreme Court's consideration of mail and wire fraud in <i>Kousisis v. U.S.</i>  Moderator: Jim Letten, Partner, Butler Snow LLP - New Orleans, LA Panelists: Tony Box, Partner, Gray Reed & McGraw LLP - Dallas, TX J. Nicholas Bunch, Partner, Haynes Boone, LLP - Dallas, TX Tiffany Eggers, Chief, Criminal Division, United States Attorney's Office, Northern District of Texas - Fort Worth, TX Lorinda Laryea, U.S. Department of Justice, Fraud Section - Washington, DC
12:00 pm	<b>Networking Luncheon (30 minutes)</b>

**Thursday Afternoon, Sep. 19, 2024**

**LUNCHEON FIRESIDE CHAT**

12:30 pm 0.50 hr	<p><b>Fireside Chat with Eric Werner</b></p> <p>Eric Werner, a long-time SEC leader and Director of the agency’s office in Fort Worth, Texas sits down for a fireside chat with former SEC Enforcement Associate Director and Holland &amp; Knight LLP partner Jessica Magee to discuss important cases, enforcement trends, and a look ahead at what to expect from the SEC going into Fiscal Year 2025.</p> <p>Moderator: Jessica B. Magee, Partner, Holland &amp; Knight - Dallas, TX Eric R. Werner, Regional Director, U.S. Securities and Exchange Commission - Fort Worth, TX</p>
1:00 pm	<p><b>15-Minute Break</b></p>
1:15 pm 1.25 hrs 0.25 hr ethics	<p><b>SEC Panel: Hot Topics and Recent Updates</b></p> <p>An update on the SEC’s recent enforcement efforts involving fraud investigations and related litigation.</p> <p>Moderator: Jason S. Flemmons, Senior Managing Director, Ankura Consulting Group - Washington, DC</p> <p>Panelists: B. David Fraser, Associate Regional Director—Enforcement, U.S. Securities and Exchange Commission - Fort Worth, TX Sarah S. Mallett, Partner, Kirkland &amp; Ellis LLP - Dallas, TX David Peavler, Partner, Jones Day - Dallas, TX Melvin E. Warren, Supervisory Accountant, U.S. Securities and Exchange Commission - Fort Worth, TX</p>
2:30 pm 1.00 hr	<p><b>Cybersecurity and Privacy Law Enforcement</b></p> <p>Moderator: Elisha Kobre, Partner, Bradley Arant Boult Cummings LLP - Dallas, TX</p> <p>Panelists: Christine Edson, Special Agent, Federal Bureau of Investigation - Dallas, TX Derek Kleinmann, Assistant Regional Director, U.S. Securities and Exchange Commission - Fort Worth, TX Camelia Lopez, Specialist in National Security and Computer Hacking and Intellectual Property, U.S. Attorney's Office, Eastern District of Texas - Plano, TX Matt Slowik, Senior Counsel, Cybersecurity and Infrastructure Security Agency (CISA) - Denver, CO</p>
3:30 pm	<p><b>15-Minute Break</b></p>
3:45 pm 1.00 hr 0.50 hr ethics	<p><b>False Claims Act Developments</b></p> <p>The U.S. Department of Justice describes the False Claims Act as one of its most important tools to fight fraud. Yet, no statute is under greater scrutiny by the Courts, Congress, or Counsel. Our panelists discuss the most recent decisions and the practical effects on their practices.</p> <p>Moderator: Jay Dewald, Partner, Norton Rose Fulbright - Dallas, TX</p> <p>Panelists: Danny S. Ashby, Partner, O'Melveny - Dallas, TX Holly Clarke, Head of Legal Defense, GKN Aerospace - Westlake, TX Laura M. Kidd Cordova, Partner, Jackson Walker LLP - Houston, TX Scott Hogan, First Assistant U.S. Attorney, U.S. Attorney's Office, Northern District of Texas - Dallas, TX</p>

4:45 pm 1.00 hr 0.25 hr ethics	<p><b>Doing Business Abroad: What Companies Should Know to Stay Out of Trouble</b></p> <p>The Government has added substantial resources to enable more robust enforcement of sanctions and export controls laws, particularly since the Russian invasion of Ukraine. For companies looking to business internationally, the regulatory environment has never been more complicated. This panel discusses enforcement trends involving multinational companies, government expectations of compliance programs, and how best to navigate the risks associated with international operations.</p> <p>Moderator: Ephraim "Fry" Wernick, Partner, Vinson &amp; Elkins LLP - Washington D.C. and Dallas, TX</p> <p>Panelists: Vanessa Salinas Beckstrom, Partner, PwC - Dallas, TX Brent Benoit, Deputy General Counsel and Chief Compliance Officer, NOV, Inc. - Houston, TX Samantha Martin, Assistant Regional Director, U.S. Securities and Exchange Commission - Fort Worth, TX Michelle Schulz, Managing Partner, Schulz Trade Law PLLC - Dallas, TX</p>
5:45 pm	<p><b>Adjourn to Networking Reception</b></p> <p>Join us for drinks and hors d'oeuvres with program faculty and attendees.</p>

## Friday Morning, Sep. 20, 2024

8:00 am	<p><b>Conference Room Opens</b></p> <p>Includes continental breakfast.</p>
9:00 am 1.00 hr	<p><b>Current Compliance Issues and Trends</b></p> <p>Moderator: D. Dee Raibourn III, Chief Compliance Officer, Avidity Partners - Dallas, TX</p> <p>Panelists: Tim S. Braley, Executive Counsel, Global Ethics and Investigations Leader, Baker Hughes Energy and Technology Co. - Houston, TX Patrick K. Craine, Chief Legal and Administrative Officer, Gulfport Energy Corporation - Oklahoma City, OK</p>
10:00 am	<p><b>15-Minute Break</b></p>
10:15 am 1.00 hr	<p><b>In-House Counsel View of the Enforcement Landscape</b></p> <p>Moderator: Kit Addleman, Partner, Haynes Boone, LLP - Dallas, TX</p> <p>Panelists: Anna M. Alvarado, Managing Director, Chief Legal Officer &amp; Corporate Secretary, Texas Capital Bank - Dallas, TX Tabitha Bailey, General Counsel, Blucora/Avantax - Dallas, TX</p>

11:15 am  
1.00 hr ethics

### **Generative AI: Developments and Ethical Implications**

Harnessing the power of AI to drive new ways of doing business and increasing growth is something all organizations are trying to do. The use of generative AI creates risks to organizations including violation of privacy, inaccurate or false representations, and unethical practices, to name a few. Implementing the right controls and ethics training alongside generative AI is necessary – so where do you start?

Moderator:

Brooke Hopkins, Managing Director, Alvarez & Marsal - Dallas, TX

Panelists:

Emily R. Apte, Counsel, King & Spalding - Austin, TX

Robert Denby, Partner, Vinson & Elkins LLP - Houston, TX

Daniel Meyers, President, Consulting & Information Governance, TransPerfect Legal Solutions - Dallas, TX

Matt Wernz, Director, Southwest Region, Federal Trade Commission - Dallas, TX

12:15 pm

**Adjourn**