

Risk Assessments

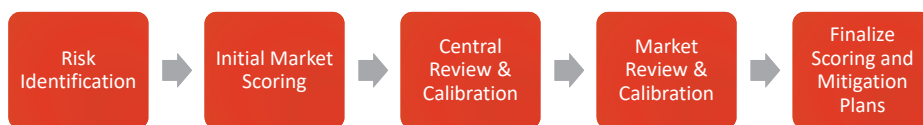
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Key Steps of an Effective Risk Assessment



- Risk:
 - Probability or threat of a negative occurrence
- Risk Causes:
 - Factors that could cause the risk event to occur or the absence of which will enhance likelihood of the risk event occurring
- Mitigating Controls:
 - Controls to ensure effective mitigation is in place for the risk causes

Example Risk	Risk Cause	Control
Car Accident	Lack of Sleep	Drinking Coffee



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2017 LIVE VIDEO WEBCAST Corporate Compliance Essentials (Part 1 and 2) session
"Part 2: Risk Assessments"