

Executive Compensation, Claw Backs, and Other Compensation Concerns

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Panel



PATRICK K. CRAINE

Chief Legal &
Administrative Officer
Golfport Energy



BROOKE HOPKINS

Partner and Managing
Director
AlixPartners



**VANESSA SALINAS
BECKSTROM**

Investigations &
Forensics Partner
PwC



SARAH S. MALLE

Assistant Director
U.S. Securities and
Exchange Commission
- Fort Worth, TX

Agenda

1

Dodd Frank Clawback Requirement

2

SEC Rule

- Interpretative Issues
- Unintended Consequences

3

NYSE/Nasdaq Requirements

- Compensation Committee Oversight
- Role

4

Previous SEC Enforcement

- Clawbacks
- Perquisites

5

SEC Focus Going Forward

6

DOJ Pilot Program



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