

Why Monitor?

“The organization shall take reasonable steps...to ensure that the organization’s compliance and ethics program is followed, including monitoring and auditing to detect criminal conduct,” including “monitoring through regular ‘walk-arounds’ or continuous observation while managing the organization.”

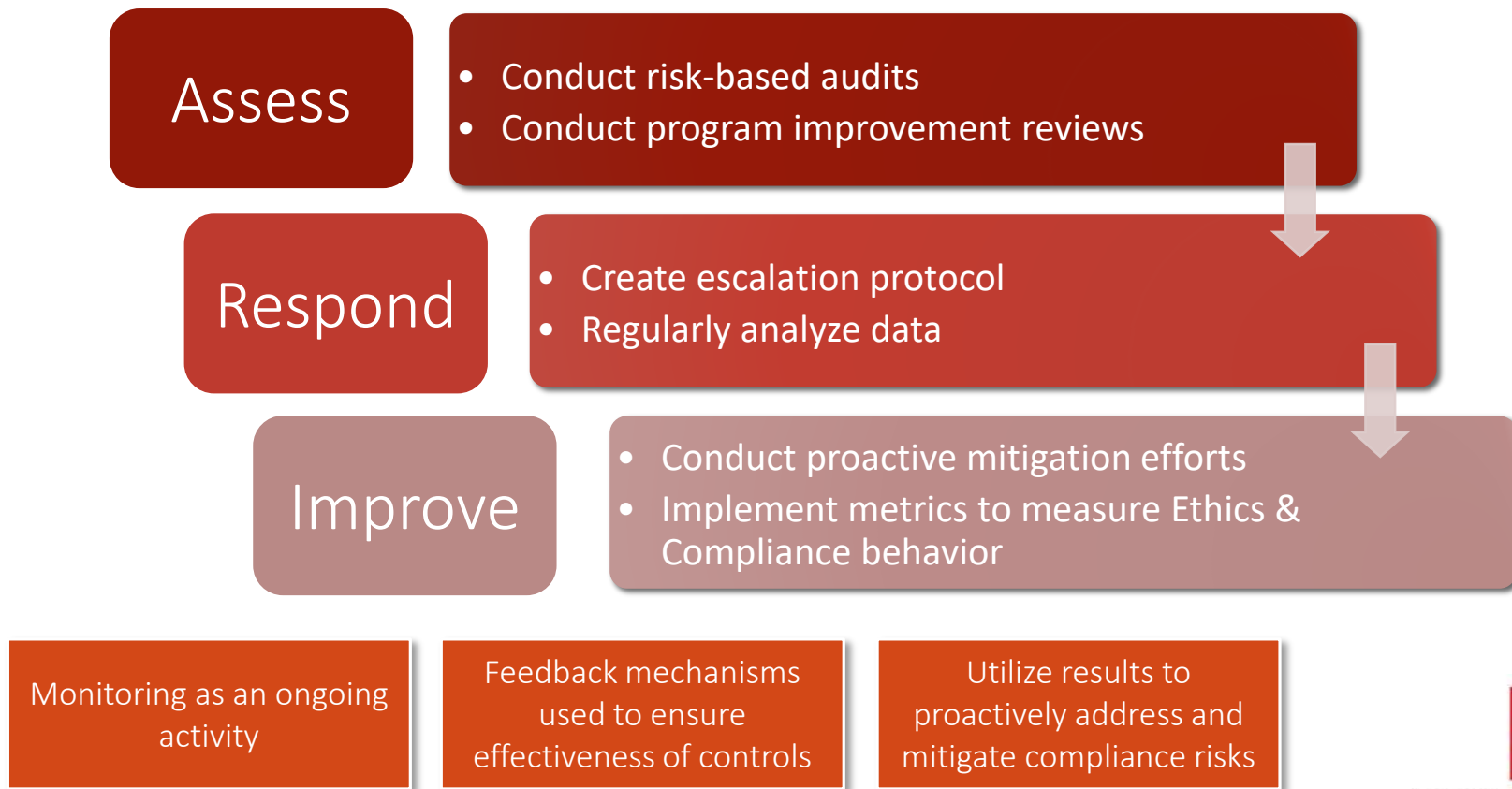
- U.S. Sentencing Guidelines

Purpose: To identify and proactively address program opportunities on a regular basis



R. McConnell Group PLLC

Monitoring & Response Basics



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Title search: Part 6: Internal Investigations

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[Corporate Compliance Essentials - Part 5](#)

[Internal Investigations](#)

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"Part 5: Internal Investigations"