Corporate Compliance Essentials:

Effective Corporate Compliance Program Design

Jay Martin
Ryan McConnell
Kristen Savelle
Cara Viglucci

R. McConnell Group PLLC

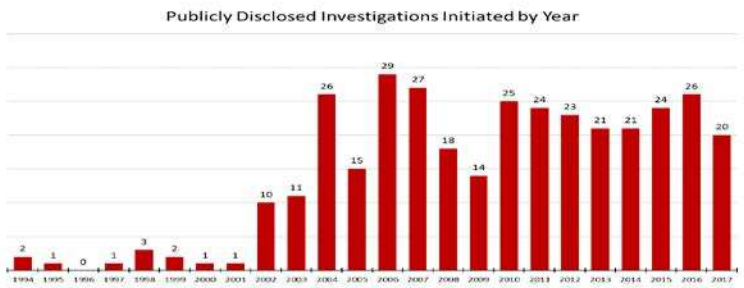
Internal Investigations Discussion Points

1) Why conduct an internal investigation?
2) What are some of the key issues faced when considering whether and how to conduct an internal investigation?
3) What are best practices for conducting an effective internal investigation?
4) What are we seeing from actual case data from Stanford's database?

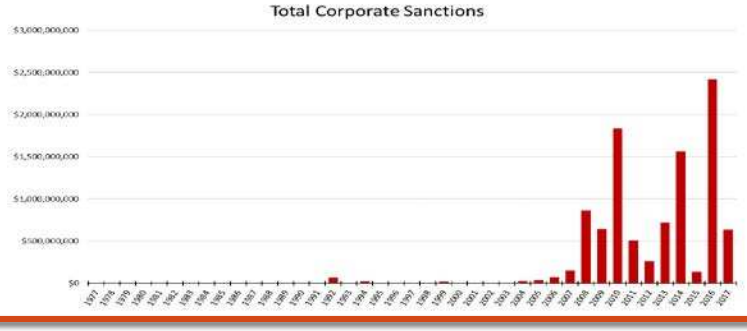
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FCPA Clearinghouse Data

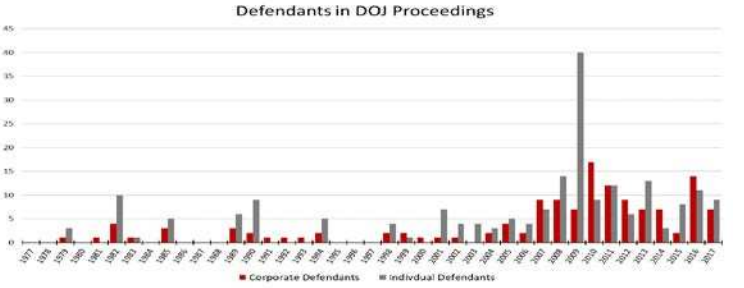
Publicly Disclosed Investigations



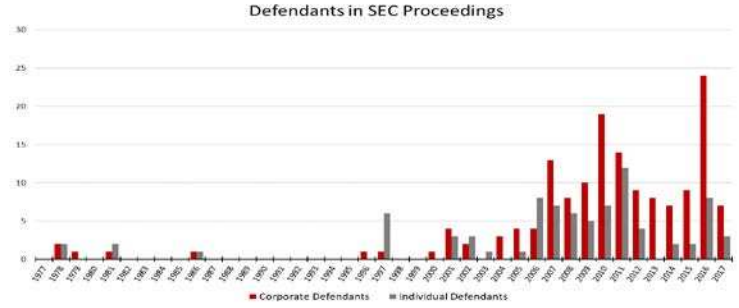
Total Sanctions (Entity Groups)



Entity Groups & Individuals Prosecuted (DOJ)



Entity Groups & Individuals Prosecuted (SEC)



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Title search: Part 5: Monitoring and Audit

Also available as part of the eCourse
Corporate Compliance Essentials - Part 6
Monitoring and Audit

First appeared as part of the conference materials for the
2017 LIVE VIDEO WEBCAST Corporate Compliance Essentials (Part 5 and 6) session
"Part 6: Monitoring and Audit"