Corporate Compliance Essentials:

Effective Corporate Compliance Program Design

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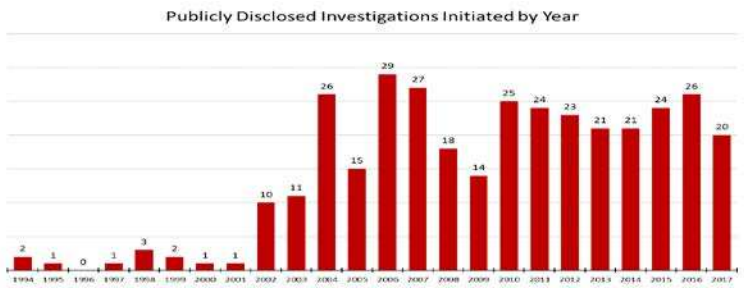
Internal Investigations Discussion Points

1) Why conduct an internal investigation?
2) What are some of the key issues faced when considering whether and how to conduct an internal investigation?
3) What are best practices for conducting an effective internal investigation?
4) What are we seeing from actual case data from Stanford's database?

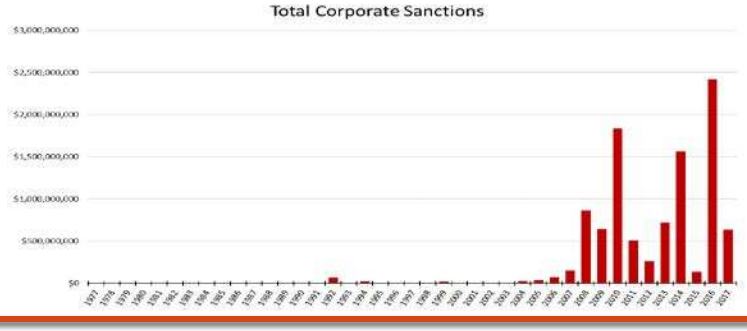
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FCPA Clearinghouse Data

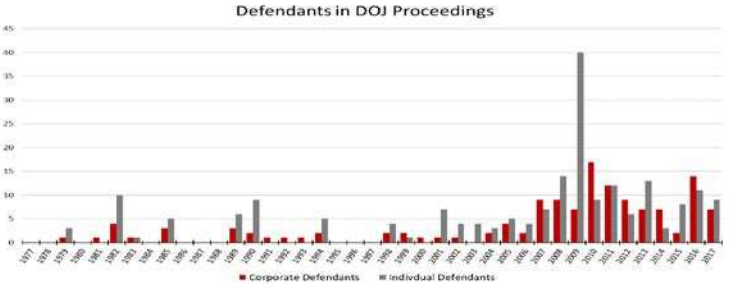
Publicly Disclosed Investigations



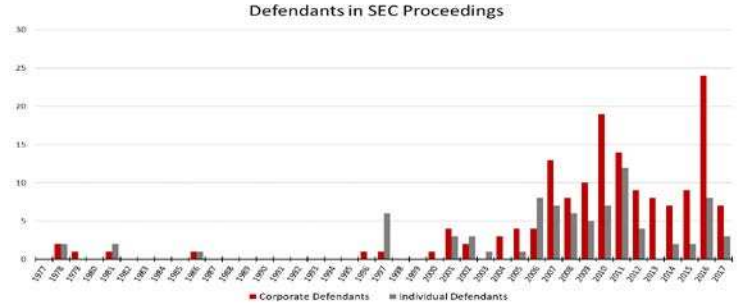
Total Sanctions (Entity Groups)



Entity Groups & Individuals Prosecuted (DOJ)



Entity Groups & Individuals Prosecuted (SEC)



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"Part 6: Monitoring and Audit"