

# Corporate Compliance Essentials:

## Effective Corporate Compliance Program Design

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## Instructors

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# Compliance Framework

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## Key Compliance Guidance

- U.S. Sentencing Guidelines
- Deferred and Non-Prosecution Agreements
- DOJ Charging Guidance (USAM)
- OECD 13 Principles
- UK Bribery Act Guidance
- 2012 FCPA Guidance
- Sarbanes-Oxley Act
- NYSE Listing Standards
- ISO 37001



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