

Executive Compensation, Claw Backs, and Other Compensation Concerns

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Panel



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Agenda

1

Dodd Frank Clawback Requirement

2

SEC Rule

- Interpretative Issues
- Unintended Consequences

3

NYSE/Nasdaq Requirements

- Compensation Committee Oversight
- Role

4

Previous SEC Enforcement

- Clawbacks
- Perquisites

5

SEC Focus Going Forward

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DOJ Pilot Program



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