Concurrent Proceedings:Tension between PTAB and the District Courts

The University of Texas School of Law 21st Annual Advanced Patent Law Institute Austin, Texas

November 3-4, 2016

Robert Greene Sterne, Sterne, Sterne, Kessler, Goldstein & Fox P.L.L.C.

David J. Healey, Fish & Richardson P.C.

Brian W. Oaks, Baker Botts LLP

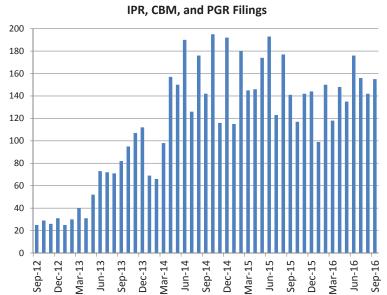
Agenda

- Overview of PTAB Activity
- District Court Stays
- Timeline: District Court Litigation Stayed by a PTAB Proceeding
- Different Rules in the District Court and PTAB

Overview of PTAB Activity

(All stats through September 2016)

- Since September 16, 2012, 5,155 IPR petitions, 476 CBM petitions, and 37 PGR petitions have been filed.
- Averaged 150
 petitions per month
 in 2015 slightly up
 over 2014. Filings
 are down to around
 140 per month in
 2016.

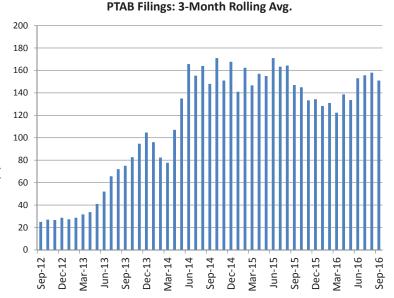


3

Overview of PTAB Activity

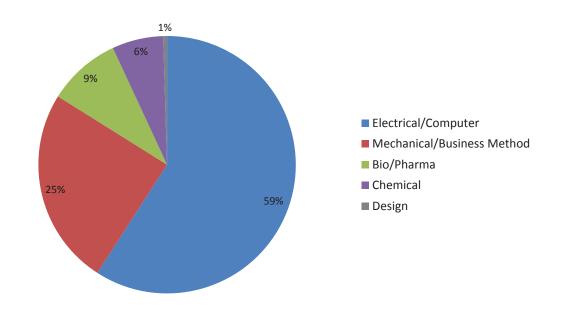
(All stats through September 2016)

- It took nearly 18 months for the first 1,000 petitions to be filed.
- Each subsequent 7–8 month period has seen around 1,000 petitions filed.



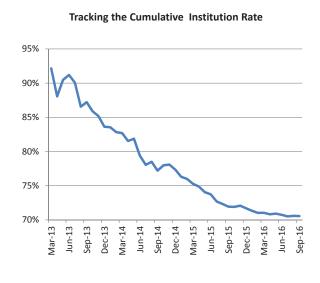
4

PTAB Filings by Technology



5

PTAB Institution Outcomes



Period	Institution Rate
FY2013	86.8%
First Half FY2014	78.5%
Second Half FY2014	71.2%
1 st Quarter FY2015	77.7%
2 nd Quarter FY2015	68.8%
3 rd Quarter FY2015	63.6%
4 th Quarter FY2015	62.5%
1 st Quarter FY2016	69.1%
2 nd Quarter FY2016	65.5%
3rd Quarter FY2016	66.2%
4 th Quarter FY2016	68.1%
Total	70.6%

- In IPRs, trial has been instituted for 71% of proceedings and 62% of the challenged claims.
- In CBMs, trial has been instituted for 68% of proceedings and 63% of the challenged claims.

6





Find the full text of this and thousands of other resources from leading experts in dozens of legal practice areas in the <u>UT Law CLE eLibrary (utcle.org/elibrary)</u>

Title search: Concurrent Proceedings: Tension between PTAB and the District Courts

Also available as part of the eCourse 2016 Advanced Patent Law eConference - Austin

First appeared as part of the conference materials for the 21st Annual Advanced Patent Law Institute session "Concurrent Proceedings: Tension between PTAB and the District Courts"