

Why Monitor?

“The organization shall take reasonable steps...to ensure that the organization’s compliance and ethics program is followed, including monitoring and auditing to detect criminal conduct,” including “monitoring through regular ‘walk-arounds’ or continuous observation while managing the organization.”

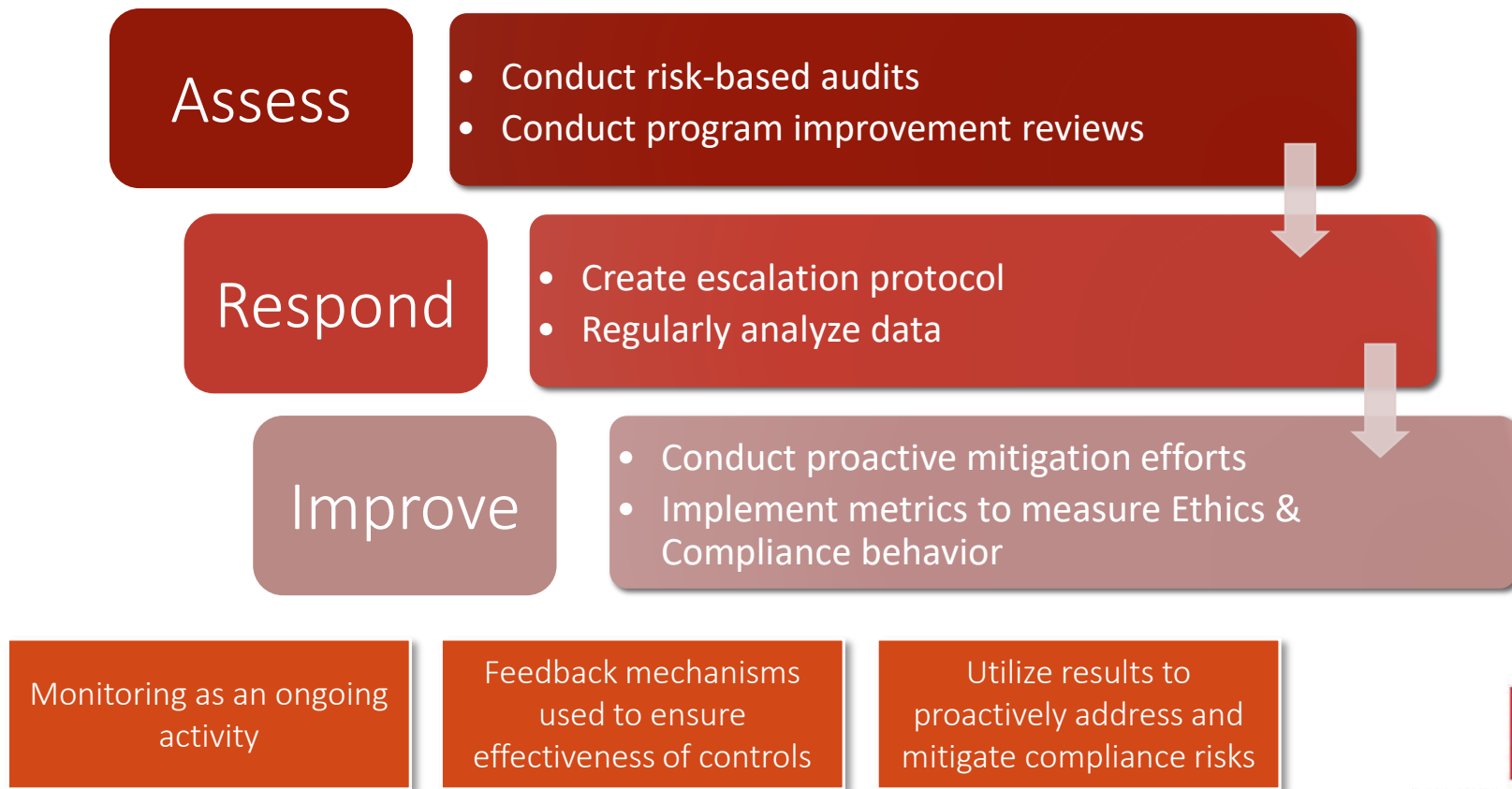
- U.S. Sentencing Guidelines

Purpose: To identify and proactively address program opportunities on a regular basis



R. McConnell Group PLLC

Monitoring & Response Basics



Find the full text of this and thousands of other resources from leading experts in dozens of legal practice areas in the [UT Law CLE eLibrary \(utcle.org/elibrary\)](http://utcle.org/elibrary)

Title search: Part 6: Internal Investigations

Also available as part of the eCourse

[Corporate Compliance Essentials](#)

[Complete Series](#)

First appeared as part of the conference materials for the
2017 LIVE VIDEO WEBCAST Corporate Compliance Essentials (Part 5 and 6) session
"Part 5: Internal Investigations"