

Executive Compensation, Claw Backs, and Other Compensation Concerns

September 2023



Panel



PATRICK K. CRAINE

Chief Legal &
Administrative Officer
Golfport Energy



BROOKE HOPKINS

Partner and Managing
Director
AlixPartners



**VANESSA SALINAS
BECKSTROM**

Investigations &
Forensics Partner
PwC



SARAH S. MALLE

Assistant Director
U.S. Securities and
Exchange Commission
- Fort Worth, TX

Agenda

1

Dodd Frank Clawback Requirement

2

SEC Rule

- Interpretative Issues
- Unintended Consequences

3

NYSE/Nasdaq Requirements

- Compensation Committee Oversight
- Role

4

Previous SEC Enforcement

- Clawbacks
- Perquisites

5

SEC Focus Going Forward

6

DOJ Pilot Program



Find the full text of this and thousands of other resources from leading experts in dozens of legal practice areas in the [UT Law CLE eLibrary \(utcle.org/elibrary\)](http://utcle.org/elibrary)

Title search: Executive Compensation, Claw Backs, and Other Compensation Concerns

First appeared as part of the conference materials for the
9th Annual Government Enforcement Institute session

"Executive Compensation, Claw Backs, and Other Compensation Concerns"