

**Presented:**  
2014 Car Crash Seminar

July 31 – August 1, 2014  
Austin, Texas

## **Shifting into First: Early Development of the Commercial Truck Crash Case**

**Mike Davis**

Author contact information:  
©2014 Mike Davis  
SLACK & DAVIS, LLP  
2705 Bee Cave Road, Suite 220  
Austin, Texas 78746  
(512) 795-8686  
(512) 795-8787 (fax)  
[mdavis@slackdavis.com](mailto:mdavis@slackdavis.com)

## **TABLE OF CONTENTS**

TABLE OF CONTENTS.....	I
TABLE OF AUTHORITIES .....	III
SHIFTING INTO FIRST: EARLY DEVELOPMENT OF THE COMMERCIAL TRUCK CRASH CASE.....	1
TRUCK CRASHES DIFFER FROM CAR CRASHES.....	2
THE REGULATORY FRAMEWORK.....	3
Federal Motor Carrier Safety Regulations .....	6
Part 40 – Procedures for Transportation Work-Place Drug and Alcohol Testing Programs.....	7
Part 380 – Special Training Requirements.....	8
Part 382– Controlled Substances and Alcohol Use and Testing.....	8
Part 383 – Commercial Driver’s License.....	10
Part 385 – Safety Fitness Standards.....	13
Part 387 – Financial Responsibility For Motor Carriers .....	14
Part 390 – General.....	15
Part 391 – Qualifications of Drivers .....	17
Part 392 – Driving Of Commercial Motor Vehicles .....	22
Part 393 – Parts and Accessories Necessary for Safe Operation .....	23
Part 395 – Hours of Service of Drivers .....	23
Part 396 – Inspection, Repair and Maintenance.....	26
Part 397 – Transportation of Hazardous Materials .....	27
INVESTIGATION .....	28
Preservation Letter .....	28
Initial Investigation .....	29
Inspection of Defendant’s Truck and Trailer .....	31
DISCOVERY .....	32
Informal Discovery .....	32
Discovery From Defendant Driver.....	36
Discovery From Defendant Trucking Company .....	37
Discovery from Third Parties.....	39
THEORIES OF LIABILITY .....	40
INSURANCE COVERAGE .....	50

MCS-90 Endorsement.....	51
Texas Forms E and F.....	54
Foreign Motor Carriers .....	54
Bobtail Policies .....	55
APPENDIX A .....	56
APPENDIX B .....	60
APPENDIX C .....	61
APPENDIX D .....	62
APPENDIX E .....	63
APPENDIX F.....	64

## TABLE OF AUTHORITIES

### CASES

<i>Adams v. Royal Indemn. Co.</i> , 99 F.3d 964 (10 Cir. 1996) .....	56
<i>Berry v. Golden Light Coffee Co.</i> , 327 S.W.2d 436 (Tex. 1959).....	7
<i>Bituminous Cas. Corp. v. Maxey</i> , 110 S.W.3d 203 (Tex. App.—Houston [1 <sup>st</sup> Dist.] 2003, pet. denied) .....	52
<i>Blue Bird Body Co. v. Ryder Truck Rental, Inc.</i> , 583 F.2d 717 (5th Cir. 1978).....	53
<i>Brown v. Truck Connections Int'l, Inc.</i> , 526 F. Supp. 920 (E.D. Ark. 2007) .....	45
<i>Builders Transport, Inc. v. Grice-Smith</i> , 167 S.W.3d 1 (Tex. App.—Waco 2005, pet. denied) .....	52
<i>Campbell v. Bartlett</i> , 975 F.2d 1569 (10th Cir. 1992) .....	55
<i>Canal Ins. Co. v. First Gen. Ins. Co.</i> , 889 F.2d 604 (5th Cir. 1990).....	55
<i>Coastal Corp. v. Torres</i> , 133 S.W.3d 776 (Tex. App.—Corpus Christi 2004, pet. filed).....	52
<i>Commercial Standard Ins. Co. v. McKissack</i> , 153 S.W.2d 997, (Tex. Civ. App.—Fort Worth 1941, writ ref'd) .....	57
<i>Consumers County Mut. Ins. Co. v. P.W. &amp; Sons Trucking, Co.</i> , 307 F.3d 362 (5 <sup>th</sup> Cir. 2002).....	45
<i>Drennan v. Cmty. Health Inv. Corp.</i> , 905 S.W.2d 811 (Tex. App.—Amarillo—1995, writ denied).....	44
<i>Goodyear Tire &amp; Rubber Co.</i> v. <i>Mayes</i> , 236 S.W.3d 754 (Tex. 2007).....	50
<i>Grinnell Mut. Reinsurance Co. v. Empire Fire &amp; Marine Ins. Co.</i> , 722 F.2d 1400 (8 <sup>th</sup> Cir. 1983).....	48
<i>Hartford Ins. Co. v. Occidental Fire &amp; Cas. Co.</i> , 908 F.2d 235 (7 <sup>th</sup> Cir. 1990).....	48
<i>Hawkins v. Gorea Motor Express, Inc.</i> , 360 F.2d 933, (2d Cir. 1966) .....	31
<i>Ill. Bulk Carrier, Inc. v. Jackson</i> , 908 N.E.2d 248 (Ind. Ct. App. 2009).....	45
<i>Integral Ins. Co. v. Lawrence Fulbright Trucking, Inc.</i> , 930 F.2d 258, (2d Cir. 1991) .....	56
<i>John B. Barbour Trucking Co. v. State</i> , 758 S.W.2d 684 (Tex. App.—Austin 1988, writ denied) .....	46, 47
<i>John Deere Ins. Co. v. Nueva</i> , 229 F.3d 853 (9th Cir. 2000).....	56

<i>Logan v. Grady</i> , 482 S.W.2d 313 (Tex. Civ. App.—Ft. Worth 1972, no writ) .....	31
<i>Lowry v. Anderson-Barney Bldg. Co.</i> , 161 S.W.2d 459 (Tex. 1942) .....	43
<i>Mata v. Andrews Transport, Inc.</i> , 900 S.W. 363 (Tex. App.—Houston [14 <sup>th</sup> Dist.] 1995, no writ).....	47
<i>MBank El Paso, N.A. v. Sanchez</i> , 836 S.W.2d 151 (Tex. 1992).....	7
<i>Minyard Food Stores, Inc. v. Goodman</i> , 80 S.W.3d 573 (Tex. 2002).....	45
<i>Morris v. JTM Materials, Inc.</i> , 78 S.W.3d 28 (Tex App.—Fort Worth 2002, no pet.) .....	48, 51
<i>N. Am. Van Lines, Inc. v. Emmons</i> , 50 S.W.3d 103 (Tex. App—Beaumont 2001, pet. denied) .....	53
<i>N. Houston Pole Line Corp. v. McAllister</i> , 667 S.W.2d 829 (Tex. App.—Houston [14 <sup>th</sup> Dist.] 1983, no writ).....	51
<i>Nat'l Cas. Co. v. Lane Express, Inc.</i> , 998 S.W.2d 256 (Tex. App.—Dallas 1999, pet. denied) .....	57
<i>Newspapers, Inc. v. Love</i> , 380 S.W.2d 582 (Tex. 1964).....	43
<i>Omega Contracting, Inc. v. Torres</i> , 191 S.W.3d 828 (Tex. App.—Fort Worth 2006, no pet.) .....	48, 53
<i>Ooida Risk Retention Group, Inc. v. Williams</i> , 579 F.3d 469 (5 <sup>th</sup> Cir. 2009) .....	45, 56
<i>Perry v. Harco Nat'l Ins. Co.</i> , 129 F.3d 1072 (9 <sup>th</sup> Cir. 1997).....	45
<i>Perry v. S.N.</i> , 973 S.W.2d 301 (Tex. 1998) .....	53
<i>Pierre v. Providence Washington Ins. Co.</i> , 784 N.E.2d 52 (N.Y. 2002) .....	56
<i>Planet Ins. Co. v. Transport Indem. Co.</i> , 823 F.2d 285 (9 <sup>th</sup> Cir. 1987) .....	48
<i>Price v. Westmoreland</i> , 727 F.2d 494 (5 <sup>th</sup> Cir. 1984) .....	46, 48
<i>Proctor v. Colonial Refrigerated Transp., Inc.</i> , 494 F.2d 89 (4 <sup>th</sup> Cir. 1974).....	48
<i>Progressive County Mut. Ins. Co v. Carway</i> , 951 S.W.2d 108 (Tex. App.—Houston [14 <sup>th</sup> Dist.] 1997, pet. denied).....	55
<i>Rodgers v. McFarland</i> , 402 S.W.2d 208 (Civ. App.—El Paso 1966, writ ref'd n.r.e) .....	50
<i>Rodriguez v. Ager</i> , 705 F.2d 1229 (10 <sup>th</sup> Cir. 1983).....	48
<i>Schneider v. Esperanza Transmission Co.</i> , 744 S.W.2d 595 (Tex. 1987) .....	50
<i>Sharpless v. Sim</i> , 209 S.W.3d 825. (Tex. App.—Dallas 2006, pet. denied) .....	44, 47
<i>Simmons v. King</i> , 478 F.2d 857 (5 <sup>th</sup> Cir. 1973).....	46, 48
<i>State of Texas v. United States</i> , 866 F.2d 1546 (5th Cir. 1989) .....	4
<i>T.H.E. Ins. Co. v. Larsen Intermodal Servs.</i> , 242 F.3d 667 (5th Cir. 2001) .....	54

<i>Texas Dept. of Public Safety v. Nesmith,</i> 959 S.W.2d 443 (Tex. Civ. App.—Corpus Christi 1977, no writ).....	31
<i>Wardlow v. Newberry</i> , 319 S.W.2d 437 (Tex. Civ. App.—Eastland 1958, no writ) .....	8
<i>White v. Excalibur Ins. Co.</i> , 599 F.2d 50 (5 <sup>th</sup> Cir. 1979) .....	46
<i>Wilcox v. Transamerican Freight Lines, Inc.</i> , 371 F.2d 403 (6 <sup>th</sup> Cir. 1967).....	47

## **STATUTES**

37 TEX. ADMIN. CODE § 4.11(a) .....	4, 22
37 TEX. ADMIN. CODE § 4.11(b)(3).....	4
37 TEX. ADMIN. CODE § 4.11(c)(1).....	5
37 TEX. ADMIN. CODE § 4.12(a)(1).....	6
37 TEX. ADMIN. CODE § 4.12(a)(2) .....	26
37 TEX. ADMIN. CODE § 4.12(a)(4).....	27
37 TEX. ADMIN. CODE § 4.12(b)(2).....	26
37 TEX. ADMIN. CODE § 4.12(b)(8).....	8
37 TEX. ADMIN. CODE § 4.15 .....	14
TEX. ADMIN. CODE § 4.21 .....	11
TEX. TRANSP. CODE § 522.004.....	12
TEX. TRANSP. CODE § 522.0235.....	18
TEX. TRANSP. CODE § 522.027.....	18
TEX. TRANSP. CODE § 642.002 .....	17
TEX. TRANSP. CODE § 643.051.....	14
TEX. TRANSP. CODE § 644.002(a).....	4
TEX. TRANSP. CODE § 644.051 .....	4
TEX. TRANSP. CODE § 644.252 .....	11

## **RULES**

TEX. R. EVID. 803(6) .....	31
----------------------------	----

## **REGULATIONS**

49 C.F.R. § 177.823 .....	29
49 C.F.R. § 365.105(a).....	6
49 C.F.R. § 366.2 .....	6
49 C.F.R. § 376.12(c)(1) .....	46, 47

49 C.F.R. § 380.503 .....	8
49 C.F.R. § 380.507 .....	8
49 C.F.R. § 380.509 .....	8
49 C.F.R. § 380.509(b) .....	8
49 C.F.R. § 380.511 .....	8
49 C.F.R. § 380.513 .....	8
49 C.F.R. § 382.103 .....	9
49 C.F.R. § 382.201 .....	9
49 C.F.R. § 382.207 .....	9
49 C.F.R. § 382.209 .....	10
49 C.F.R. § 382.213 .....	9
49 C.F.R. § 382.215 .....	9
49 C.F.R. § 382.301 .....	9
49 C.F.R. § 382.303 .....	9
49 C.F.R. § 382.305 .....	10
49 C.F.R. § 382.307 .....	10
49 C.F.R. § 382.309 .....	10
49 C.F.R. § 382.401(b)(1).....	10
49 C.F.R. § 382.401(b)(2).....	10
49 C.F.R. § 382.401(b)(3).....	10
49 C.F.R. § 382.413 .....	11
49 C.F.R. § 383.111 .....	12
49 C.F.R. § 383.113 .....	12
49 C.F.R. § 383.21 .....	12
49 C.F.R. § 383.3 .....	12
49 C.F.R. § 383.31 .....	13
49 C.F.R. § 383.33 .....	13
49 C.F.R. § 383.5 .....	11
49 C.F.R. § 383.51 .....	13
49 C.F.R. § 383.71 .....	12
49 C.F.R. § 383.71(a).....	12
49 C.F.R. § 383.72 .....	13
49 C.F.R. § 385.13 .....	14

49 C.F.R. § 385.19 .....	14, 34
49 C.F.R. § 385.301 .....	14
49 C.F.R. § 385.301(a).....	6
49 C.F.R. § 385.305 .....	6
49 C.F.R. § 385.307 .....	14
49 C.F.R. § 385.319(c).....	14
49 C.F.R. § 385.5 .....	14
49 C.F.R. § 387.15 .....	15, 54, 55
49 C.F.R. § 387.301 .....	6
49 C.F.R. § 387.31 .....	15
49 C.F.R. § 387.33 .....	15
49 C.F.R. § 387.39 .....	15
49 C.F.R. § 387.7 .....	15
49 C.F.R. § 387.7(b)(3).....	57
49 C.F.R. § 387.9 .....	15
49 C.F.R. § 390.11 .....	7, 16
49 C.F.R. § 390.15(b) .....	16
49 C.F.R. § 390.15(b)(1).....	16
49 C.F.R. § 390.15(b)(2).....	16
49 C.F.R. § 390.17 .....	17
49 C.F.R. § 390.19 .....	6
49 C.F.R. § 390.19(a).....	17
49 C.F.R. § 390.21 .....	17
49 C.F.R. § 390.29 .....	16
49 C.F.R. § 390.3(a).....	7, 16
49 C.F.R. § 390.3(e).....	16
49 C.F.R. § 390.3(e).....	7
49 C.F.R. § 390.5 .....	5, 45
49 C.F.R. § 391.11 .....	18
49 C.F.R. § 391.15 .....	19
49 C.F.R. § 391.21(a).....	19
49 C.F.R. § 391.21(b) .....	20
49 C.F.R. § 391.21(b) (10).....	13

49 C.F.R. § 391.21(b) (11).....	13
49 C.F.R. § 391.23 .....	20
49 C.F.R. § 391.23(a)(2).....	20
49 C.F.R. § 391.23(b) .....	20
49 C.F.R. § 391.23(c)(1).....	20
49 C.F.R. § 391.23(d)–(e).....	23
49 C.F.R. § 391.25 .....	20
49 C.F.R. § 391.25(c).....	20
49 C.F.R. § 391.27 .....	20
49 C.F.R. § 391.27(d). .....	20
49 C.F.R. § 391.31 .....	21
49 C.F.R. § 391.31(d) .....	21
49 C.F.R. § 391.31(e).....	21
49 C.F.R. § 391.31(g) .....	21
49 C.F.R. § 391.41 .....	22
49 C.F.R. § 391.41 (a)(1)(i) .....	21, 22
49 C.F.R. § 391.41 (b) .....	22
49 C.F.R. § 391.49 .....	21
49 C.F.R. § 391.51(b) .....	23
49 C.F.R. § 391.51(c).....	23
49 C.F.R. § 391.53(a).....	23
49 C.F.R. § 392.3 .....	23
49 C.F.R. § 392.4 .....	24
49 C.F.R. § 392.5(a).....	24
49 C.F.R. § 392.5(c).....	24
49 C.F.R. § 392.5(d) .....	24
49 C.F.R. § 392.6 .....	24
49 C.F.R. § 392.60 .....	24
49 C.F.R. § 392.71 .....	24
49 C.F.R. § 392.9a(a).....	6
49 C.F.R. § 393.205 .....	25
49 C.F.R. § 393.207 .....	25
49 C.F.R. § 393.24 .....	25

49 C.F.R. § 395.1 .....	25
49 C.F.R. § 395.1(e)(1)(i) .....	27
49 C.F.R. § 395.15 .....	27
49 C.F.R. § 395.15(j) .....	27
49 C.F.R. § 395.3(a)(1) .....	25
49 C.F.R. § 395.3(a)(2) .....	25
49 C.F.R. § 395.3(b)(1)-(b)(2) .....	25
49 C.F.R. § 395.5(a)(1) .....	25
49 C.F.R. § 395.5(a)(2) .....	25
49 C.F.R. § 395.5(b)(1)–(2) .....	26
49 C.F.R. § 395.8(f)(1) .....	26
49 C.F.R. § 395.8(f)(2) .....	26
49 C.F.R. § 395.8(f)(7) .....	26
49 C.F.R. § 395.8(i) .....	26
49 C.F.R. § 395.8(k)(1) .....	26
49 C.F.R. § 395.8(k)(2) .....	26
49 C.F.R. § 396.11(a)(1) .....	28
49 C.F.R. § 396.11(c) .....	28
49 C.F.R. § 396.11(c)(2) .....	28
49 C.F.R. § 396.13 .....	28
49 C.F.R. § 396.17 .....	28
49 C.F.R. § 396.19 .....	28
49 C.F.R. § 396.21 .....	29
49 C.F.R. § 396.3(c) .....	27
49 C.F.R. § 396.5 .....	27
49 C.F.R. § 396.7(a) .....	27
49 C.F.R. § 396.9 .....	28
49 C.F.R. § 396.9(b) .....	28
49 C.F.R. § 396.9(c) .....	28
49 C.F.R. § 396.9(d) .....	28
49 C.F.R. § 396.9(d)(2)–(d)(3) .....	28
49 C.F.R. § 396.9(d)(3)(ii) .....	28
49 C.F.R. § 397.1 .....	29

49 C.F.R. § 397.2 .....	29
49 C.F.R. § 397.5 .....	29
49 C.F.R. § 397.7 .....	29
49 C.F.R. § 40.25 .....	11
49 C.F.R. §§ 392.10–392.16 .....	24
49 C.F.R. §§ 392.22–392.25 .....	24
49 C.F.R. §§ 392.7-392.9 .....	24
49 C.F.R. §§ 40.281-40.313 .....	10
70 Fed. Reg. 58065 .....	56
75 Fed. Reg. 32860 .....	27
75 Fed. Reg. 4305 .....	17

## **SHIFTING INTO FIRST: EARLY DEVELOPMENT OF THE COMMERCIAL TRUCK CRASH CASE**

By Mike Davis

Large commercial trucks account for a disproportionate percentage of the injuries and deaths occurring on American roadways. The Federal Motor Carrier Safety Administration (FMCSA) conducted a study in which it gathered data from crashes involving large trucks between 2001 and 2003. The FMCSA reported that, during the 33-month study period, there were approximately 141,000 fatal or injury causing crashes involving large trucks.<sup>1</sup> FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION, REPORT TO CONGRESS ON THE LARGE TRUCK CRASH CAUSATION STUDY (2005), <http://www.fmcsa.dot.gov/facts-research/research-technology/report/lccs-2006.htm>.

According to the FMCSA's report, two-thirds of the crashes caused by large trucks were attributable to either the truck driver's failure to recognize a potential crash risk as a result of inattention, distraction or failure to observe, or the driver's poor decision-making, such as driving too fast for conditions, following too closely, misjudging the speed of other vehicles or making incorrect assumptions about the other driver's actions. *Id.* at 12. An estimated 60% of the crashes caused by large trucks resulted from one of two types of driving failures—driving outside the truck's proper lane of travel or loss of control. *Id.* at 13.

Unfortunately, when a large truck collides with a passenger vehicle, the passenger vehicle loses. In fatal crashes involving a large truck and a passenger vehicle, the persons killed or injured are usually the occupants of the passenger vehicle. In

---

<sup>1</sup> Large truck was defined as a truck with a gross vehicle weight rating greater than 10,000 pounds.  
(CP 111) - 1-

2011, 28,070 persons were killed in crashes involving large trucks and passenger vehicles. FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION, LARGE TRUCK AND BUS CRASH FACTS 2011 35 (2009).

<http://www.fmcsa.dot.gov/safety/research-and-analysis/large-truck-and-bus-crash-facts-2011>. Fatal crashes have increased by 3 percent since 2010. *Id.* at 4. In 2011, there were 3,341 fatal crashes involving large trucks in the United States, resulting in 3,757 fatalities, *id.* at 15, and 88,000 persons were injured in crashes involving large trucks. *Id.* at 67.

Texas has the dubious distinction of leading the nation in trucking injuries and deaths. In 2011, there were 381 fatal crashes in Texas, resulting in 427 deaths, *Id.* at 39. Texas's 427 deaths in truck wrecks account for over 11% of the fatalities suffered in truck wrecks in the entire country in 2011, and are over 52% higher than the number of deaths from truck wrecks reported by the state that had the next highest fatality rate from truck crashes—California. *Id.* at 39.

### **TRUCK CRASHES DIFFER FROM CAR CRASHES**

In addition to the increased severity of injury when a vehicle weighing tens of thousands of pounds collides with a vehicle weighing only a few thousand pounds, truck wrecks differ from car wrecks in several other significant respects. Trucking companies and truckers are controlled by an extensive framework of federal and state regulation and, as a general rule; trucking companies and truckers are in violation of these regulations every day. Truck wreck cases also require much more investigation into the pre-wreck conduct of both the truck driver and the trucking company, the driver's training, and the condition of the vehicle than would ordinarily be involved in a routine